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<b>(54) Title:</b> METHODS AND MODIFIED CELLS FOR THE TREATMENT OF CANCER		
<b>(57) Abstract</b> <p>The present invention provides methods of preventing and/or treating cancers (including tumors). In one preferred embodiment, the invention is practiced to induce regression of an existing cancer or tumor and/or to prevent metastasis and/or to prevent growth of metastatic nodules. In other preferred embodiments, the invention may be used as a prophylaxis to prevent the development of primary cancers through a childhood or adult vaccination program against specific tumor antigens for cancers with high incidences. In an alternate preferred embodiment, the present invention provides methods of establishing an immune response against a universal artificial tumor antigen through a childhood or adult vaccine program, thus providing a long-term immune response that can be utilized at any point to treat any cancer which develops later in life. The present invention also provides cancer and tumor cells stably expressing an artificial antigen, preferably an artificial cell-surface antigen.</p>		

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## Methods and Modified Cells for the Treatment of Cancer

### Related Application Information

This application claims the benefit of United States Provisional Application  
5 No. 60/081,092 filed April 8, 1998, which is incorporated by reference herein in its  
entirety.

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97-1-7142 from the Department of Defense. The government has certain rights to this  
invention.

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### Field of the Invention

The present invention relates to methods and compositions for the prevention  
and treatment of cancer, more particularly, immunological methods and compositions  
for the prevention and treatment of cancer.

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### Background of the Invention

Attenuated, live virus vaccines are recognized as one of the most potent  
approaches to stimulating a protective immune response to pathogens and have been  
employed with success in the prevention of infectious diseases. Live virus vaccine  
25 vectors utilize the same advantages, stimulating both cytolytic T lymphocyte (CTL)

activity and antibody production, without the danger of revertent virulent virus. Venezuelan equine encephalitis virus (VEE) derived vaccine vectors expressing heterologous genes have been developed with success in murine and primate models to protect against challenge with influenza virus (N. L. Davis et al., (1996) *J. Virol.* 5 70:3781-7), simian immunodeficiency virus (SIV; N. L. Davis et al., (1999) *Proc. Natl. Acad. Sci. USA*, submitted) and Marburg virus (M. Hevey et al., (1998) *Virology* 251:28-37).

In addition, live virus, non-propagating VEE replicon particles (VRP), expressing heterologous antigens, successfully protect against a lethal challenge of 10 influenza in mice (P. Pushko et al., (1997) *Virology* 239:389-401) and SIV in primates (N. L. Davis et al., (1999) *Proc. Natl. Acad. Sci. USA*, submitted).

The goal of tumor immunotherapy is to induce a strong immune response against metastatic or residual disease. One of the most promising protocols in tumor immunotherapy is the use of *ex vivo* expanded dendritic cells (DC). Monocytes are 15 isolated from peripheral blood then expanded and differentiated using cytokines and differential adhesion (reviewed in E. Gilboa et al., (1998) *Cancer Immunol. Immunother.* 46:82-7). These cells are modified via various protocols to present tumor antigens, and then the DC are infused back into the patient. DC are believed to target to the lymph nodes where they stimulate T cell immunity by interaction of the 20 T cell receptor (TCR) of the T cell and class II and/or class I major histocompatibility complex (MHC) of the DC.

These protocols, however, present several difficulties. First, large numbers of monocytes can be difficult to obtain from cancer patients due to an overall immunodepressed state. In addition, the *ex vivo* differentiation of the cells is time 25 consuming and expensive and foreign proteins from the *in vitro* cultures can complicate the resulting immune response.

Given these drawbacks, there is a need in the art for alternative protocols for presenting tumor antigens *in vivo* to induce a host immune response thereto.

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### **Summary of the Invention**

The present invention provides methods of preventing and/or treating cancers (including tumors-forming cancers). In particular preferred embodiments, the invention is practiced to induce regression of an existing cancer or tumor and/or to

prevent metastasis and/or growth of metastatic nodules. In other preferred embodiments, the invention may be used as a prophylaxis to prevent the development of primary cancers through a childhood or adult vaccination program against specific cancer antigens. In alternate preferred embodiments, the present invention provides methods of establishing an immune response against a universal artificial tumor antigen through a childhood or adult vaccine program, thus providing a long-term immune response that can be utilized at any point to treat any cancer which develops later in life. According to this embodiment, vaccination may be before or after the development of cancer. The present invention also provides cancer and tumor cells stably expressing an artificial antigen, preferably an artificial cell-surface antigen.

An alphavirus-based vaccine vector offers several advantages over other live virus vector delivery systems such as adenovirus and poliovirus. Venezuelan Equine Encephalitis virus (VEE) has been shown to primarily target dendritic cells following a subcutaneous inoculation. Targeting of antigen-presenting cells and the concentration of infecting virus directly within the lymph nodes may be responsible for the strong immunogenic nature of VEE based vectors and vaccines. The use of non-propagating alphavirus replicon particle vectors provides additional advantages and vastly increases the safety of using live virus, both by restricting viral spread and possible virulence. These features can be further enhanced by the use of alphavirus vectors containing attenuating mutations in the nonstructural or structural proteins. Finally, pre-existing immunity to VEE is minimal in the human population in contrast to adenovirus and poliovirus. Different alphavirus vectors can be used, if necessary, to prevent the development of an interfering immune response and to allow for multiple vaccinations.

The present invention provides a composition comprising infectious alphavirus particles in an immunogenic effective amount, wherein the alphavirus particles comprise one or more heterologous nucleotide sequences each encoding an antigen, and wherein the antigen is a native cancer cell antigen or an artificial cancer antigen (*i.e.*, an antigen that is not normally expressed by the cancer cell). Also provided are pharmaceutical formations comprising the inventive compositions of infectious alphavirus particles.

As a further aspect, the present invention provides a kit for modifying a mammalian cell, comprising: (a) assay components for determining the pre-existing immunity of a subject afflicted with cancer to one or more antigens; and (b) one or more vectors suitable for introducing and expressing an antigen in a mammalian cell,

where each of the vectors comprises one or more heterologous nucleotide sequence(s) encoding an antigen from the one or more antigens.

As a further aspect, the present invention provides a method for inducing a protective immune response in a subject afflicted with cancer, comprising: (a) modifying a cell to express one or more antigens against which the subject has a pre-existing immunity; and (b) introducing the modified cell into the subject, wherein the modified cell elicits an immune response against the subject's cancer.

Also provided is a method for inducing a protective immune response in a subject afflicted with cancer, comprising: (a) screening a subject afflicted with cancer for pre-existing immunity against one or more antigens; (b) selecting one or more antigens against which the subject demonstrates immunity; (c) modifying a cell to express the one or more selected antigens; and (d) introducing the modified cell into the subject, wherein the modified cell elicits an immune response against the subject's cancer.

As a further aspect of the invention is a method for inducing a protective immune response against cancer in a subject, comprising: (a) immunizing a subject against one or more antigens prior to the detection of cancer in the subject; (b) modifying a cell to express the one or more antigens of (a); and (c) introducing the modified cell into the subject, wherein the modified cell elicits an immune response against the subject's cancer.

A still further aspect of the invention is a method for inducing a protective immune response against cancer in a subject, comprising immunizing a subject against an antigen prior to the detection of cancer in the subject, wherein the immunizing step is carried out using an alphavirus vector comprising a nucleotide sequence encoding the antigen.

As a further aspect, the present invention provides a method for inducing a protective immune response against cancer in a subject, comprising: (a) immunizing a subject afflicted with cancer against one or more antigens; (b) modifying a cell to express the one or more antigens of (a); and (c) introducing the modified cell into the subject, wherein the modified cell elicits an immune response against the subject's cancer.

A yet further aspect of the invention is a method for inducing a protective immune response against cancer, comprising the step of immunizing a subject against

a native cancer antigen, wherein the immunizing step is carried out using an alphavirus vector comprising a nucleotide sequence encoding a native cancer antigen.

As a further aspect, the present invention provides a method for inducing an immune response against a native cancer antigen, comprising the step of immunizing a subject afflicted with cancer against an antigen native to the subject's cancer, wherein the immunizing step is carried out using an alphavirus vector comprising a nucleotide sequence encoding an antigen native to the subject's cancer.

These and other aspects of the present invention are set forth in more detail in the description of the invention below.

### Brief Description of the Drawings

**Figure 1** is a graphical representation of the results of a study demonstrating that VRP-HA vaccination inhibits tumor growth. **Panel A.** Tumor incidence as detected by palpation (VRP-HA; black, VRP-M/C; white, Mock; grey). **Panel B.** Tumor growth over time (VRP-HA; ▲, VRP-M/C; ■, Mock; ●). Three groups of 8 BALB/C 7-8 week old female mice were inoculated with either  $5 \times 10^5$  infectious units (IU) of either V3014-HA VRP, V3014-M/C VRP or diluent (mock; phosphate buffered saline with 1% donor calf serum), subcutaneously in the left rear footpad. Mice were boosted three weeks later by the same protocol, and three weeks later were inoculated intramuscularly (im) in the left calf with  $10^3$  LINE1-HA tumor cells. Tumor establishment was detected by palpation (**Panel A**) and growth was determined by the average of two caliper measurements of the right calf (**Panel B**). Animals were sacrificed when the tumor diameter exceeded 0.5 inches.

**Figure 2** is a graphical representation of VRP-HA induced tumor regression. At day 31 post LINE1-HA inoculation (marked by arrow), seven mice from the negative control groups (diluent, triangle; VRP-M/C, circles) with tumors from 0.2-0.3 inches in diameter were inoculated with  $10^6$  IU of VRP-HA subcutaneously in the left rear foot pad and measured for tumor growth.

**Figure 3** is a graphical representation of the effects of immunity to LINE1-HA tumors on LINE1 tumor growth. Eight mice from the group pre-immunized with VRP-HA before LINE1-HA inoculation (squares) and which never developed

tumors, two mice from the negative control group whose tumors regressed after receiving VRP-HA (triangles), and four naïve mice which had never been immunized with either VRP-HA or LINE1-HA (circles) were injected on day 48 im with  $10^3$  LINE1 tumor cells in either the left (designated L) or right calf (designated R) and tumor growth was monitored.

Figure 4 represents the results of a study demonstrating that VRP-HA vaccinated mice develop HA-specific CTL activity. Mice were vaccinated with either VRP-HA or VRP-M/C. Three weeks after the second injection, splenocytes were harvested and then cultured for seven days in the presence of irradiated 4T1 cells ( $H-2^d$ ) or HA expressing 4T1 cells and interleukin-2. The resulting cells were tested for CTL activity against either 4T1 cells or 4T1 cells expressing HA. Activity is expressed as percent specific lysis. Closed circles represent CTL activity against parental 4T1 cells; closed diamonds represent CTL activity against 4T1 cells expressing HA. **Panel A**, splenocyte CTL activity from VRP-M/C vaccinated mice; **Panel B**, splenocyte CTL activity from VRP-HA vaccinated mice.

Figure 5 is a graphical representation of the results of a study demonstrating that  $CD8^+$  T lymphocytes confer protection against LINE1-HA tumor growth. Splenocytes were purified from mice which had been immunized with either VRP-HA or VRP-M/C, as above, with an additional boost three weeks following the second boost.  $CD4^+$  and  $CD8^+$  lymphocytes were sequentially positively selected using MACS  $VS^+$  magnetic columns (Miltenyi Biotec). The cells in the flow-through sample were considered to be enriched for B lymphocytes. Lymphocyte subsets from two mice were pooled and inoculated intravenously (iv) in the tail of a naïve recipient, and 48 hours later the mice were challenged with LINE1-HA tumor cells. Mice were individually monitored for tumor growth with four mice per group. Closed squares represent cells transferred from VRP-M/C vaccinated mice; closed diamonds represent cells transferred from VRP-HA vaccinated mice. **Panel A**,  $CD8^+$  T cell transfer; **Panel B**,  $CD4^+$  T cell transfer; **Panel C**, flow through (B cell enriched).



### Detailed Description of the Invention

The present invention provides a general approach to the prevention and treatment of cancers (including tumor-forming cancers) using immunological techniques. Unlike previous attempts to immunize animals against tumor or cancer cells, the present invention does not depend on identifying and characterizing specific native (*i.e.*, naturally-occurring) cancer antigens. By the terms "treating cancer" or "treatment of cancer", it is intended that the severity of the cancer is reduced or the cancer is at least partially eliminated. Alternatively, these terms are intended to mean that metastasis of the cancer is reduced or at least partially eliminated. As a further alternative, these terms indicate that growth of metastatic nodules (*e.g.*, after surgical removal of a primary tumor) is reduced or at least partially eliminated. By the terms "prevention of cancer" or "preventing cancer" it is intended that the inventive methods at least partially eliminate or reduce the incidence or onset of cancer. Alternatively stated, the present methods slow, control, decrease the likelihood or probability, or delay the onset of cancer in the subject.

The invention is based, in part, on the discovery that cancers can both be prevented and treated by immunizing against an artificial cancer cell antigen. An "artificial cancer cell antigen" or an "artificial tumor antigen" is an antigenic molecule that is not normally found in the cancer or tumor cells, but can be expressed therein and induce an immune response. For example, the antigenic molecule may be an infectious disease antigen. As used herein, artificial cancer cell antigens include artificial tumor antigens. Genetic engineering techniques are employed to express the antigenic molecule in cancer or tumor cells, respectively. Preferably, the antigenic molecule is a protein or peptide, more preferably, a cell-surface protein or peptide.

Artificial cancer cell antigen(s) can be any suitable antigenic protein that induces an active immune response against the antigen. Additionally, when administered according to the present invention, the artificial cancer cell antigen should be able to induce an active and protective immune response against unmodified cancer cells. As will be appreciated by those skilled in the art, it is preferred that the artificial cancer cell antigen does not induce an immune response against non-cancer host cells, *i.e.*, cause an auto-immune response. Preferably, the artificial cancer antigen is an infectious disease antigen, as described above. Also preferred are cell-surface antigens, more preferably cell surface proteins or peptides.

The antigen may be expressed on the cell surface by any means known in the art, for example, by insertion into the cellular membrane or by presentation on the cell surface, *e.g.*, by MHC molecules. Alternatively, an engineered antigen can be designed for use in a vaccination program based on known strongly-immunogenic epitopes. Such designer antigens may include one or more known epitopes, including but not limited to, helper T cell epitopes, cytotoxic T cells epitopes, T-dependent B cell epitopes, T-independent B cell epitopes, and the like. As a further alternative, a subject can be vaccinated with a "cocktail" of engineered antigens, containing multiple epitopes. As a further alternative, antigens can be engineered to be compatible with the various known HLA haplotypes. Individual subjects can be screened for their HLA type and then vaccinated with the appropriate HLA-specific antigen or antigens.

The present invention is advantageously carried out by immunizing the subject with an alphavirus vector, more preferably, an alphavirus replicon particle vector (as described hereinbelow). Also preferred are Venezuelan Equine Encephalitis (VEE) virus vectors and replicon particle vectors. While not wishing to be held to any particular theory of the invention, it appears that the strong immunogenic response elicited in response to alphavirus, more particularly VEE, vectors is a result of alphavirus infection of dendritic cells, (*i.e.*, antigen-presenting cells). Moreover, the alphavirus 26S subgenomic promoter, more particularly the VEE 26S promoter, is a strong promoter that appears to be highly expressed in dendritic cells. The effectiveness of alphavirus vaccines may be attributable, at least in part, to high level expression of antigen driven by the 26S subgenomic promoter.

Cancer or tumor cells may be removed from a cancer patient who has previously been vaccinated against an artificial cancer cell antigen, the cancer cells modified to express the artificial antigen, and then re-introduced into the patient. An active immune response will be mounted against the artificial antigen expressed by the modified cancer cells. There will also be an active immune response against native (*i.e.*, naturally-occurring) cancer cell antigens. While not wishing to be held to any particular theory of the invention, it appears that this immune response to native cancer cell antigens is induced by the process of epitope spread, as this term is understood in the art (*see, e.g.*, B. Wu et al., (1997) *J. Immunol.* 159:3016). Thus, the present invention provides a universal antigen, *i.e.*, an antigen that can be used to elicit an active immune response against any cancer, independent of the specific

native cancer cell antigens present. Typically, the universal antigen is an antigen that is not expressed by cancer cells or the particular cancer to be treated and/or prevented. Preferably, the universal antigen is a cell-surface protein or peptide.

In alternative embodiments of the invention, the cancer cells are removed from  
5 a donor subject, modified, and then introduced into a recipient subject or subjects. The cell recipient subject may be the same or a different subject than the donor subject. It is preferred that the patient's own cancer cells be modified and re-introduced back into the patient. If the donor and recipient subjects are different, the donated cancer cells and the cancer cells of the recipient preferably share at least one  
10 native antigen. In addition, the modified cancer cells are preferably HLA compatible with the recipient.

As a further alternative, it is possible to practice the present invention with cells that are not cancer cells. Typically, according to this embodiment, the patient's cancer cells and the modified cells will share at least one antigen native to the  
15 patient's cancer cells. In this manner, introduction of the modified cells expressing the artificial cancer cell antigen and the native cancer cell antigen will induce an immune response against the patient's cancer cells.

Typically, cancer cells will be removed from the cell donor patient, manipulated *ex vivo*, and then introduced into the cell recipient patient. In alternate  
20 embodiments, it is possible to use the present invention with cultured cancer or stored cancer cells that have been modified to express the artificial cancer cell antigen of interest. The stock of cultured or stored cancer cells are preferably HLA compatible with the patient's HLA type. Such cells can be introduced into patients afflicted with the same type of cancer to elicit a protective immune response against the cancer. For  
25 example, stock preparations of breast cancer cells expressing the *HER2* or *BRCA1* genes and an artificial cancer cell antigen are useful to treat women who have *HER2*-induced or *BRCA1*-induced breast cancers, respectively, and who are vaccinated against the artificial cancer cell antigen. The recipient's cancer cells and the stock cancer cells may share any antigen (*e.g.*, a native cancer antigen). According to this  
30 embodiment, the cancers need not be of the same origin or type. Moreover, the donor cells need not be cancer cells at all, as long as the donor cells express at least one antigen expressed by the recipient's cancer cells (*e.g.*, a native cancer cell antigen).

The invention is also useful to create an anti-cancer immune response prior to the development of cancer by a combination of vaccination and cancer cell

modification. Alternatively, the invention can be employed to take advantage of a cancer patient's pre-existing immune responses by directing these pre-existing immune responses against genetically-modified cancer cells.

The approach disclosed herein provides a generalized strategy for treating and preventing cancers of any origin, either tumor forming or non-tumor forming cancers. The inventive methods can be used to treat both the primary cancer and to prevent metastasis. Alternatively, the inventive methods can be advantageously employed to reduce or prevent growth of metastatic nodules (*e.g.*, following surgical removal of a primary tumor). The term "cancer" has its understood meaning in the art, for example, an uncontrolled growth of tissue that has the potential to spread to distant sites of the body (*i.e.*, metastasize). Exemplary cancers include, but are not limited to, leukemias, lymphomas, colon cancer, renal cancer, liver cancer, breast cancer, lung cancer, prostate cancer, ovarian cancer, melanoma, and the like. Preferred are methods of treating and preventing tumor-forming cancers. The term "tumor" is also understood in the art, for example, as an abnormal mass of undifferentiated cells within a multicellular organism. Tumors can be malignant or benign. Preferably, the inventive methods disclosed herein are used to prevent and treat malignant tumors.

Methods of introducing and expressing the artificial antigen in the cancer cell can be accomplished by any suitable method and with any suitable vector known in the art. Viral vectors are preferred, with retroviral and alphavirus vectors being more preferred. Preferably, modified cancer cells are  $\gamma$ -irradiated prior to introduction into the patient. Irradiated cells will continue to produce antigenic protein for a period following irradiation, but will be unable to replicate in recipient patients. Typically, a patient will be injected with  $10^5$  to  $10^9$ , and more preferably  $10^6$  to  $10^8$  modified cells. The method and site of introduction of the modified cells is not critical, although if the cancer is a solid tumor, introduction of the modified cells near the site of the tumor is preferred. Cells can be introduced by any suitable route of administration, with parenteral routes (*e.g.*, subcutaneous, intracerebral, intradermal, intramuscular, intravenous, intraarticular) being preferred, and intramuscular injection being most preferred.

The present invention is suitable for both medical and veterinary uses. Suitable subjects include, but are not limited to, mammalian and avian subjects, preferably, mammalian subjects, more preferably human, simian, porcine, bovine,

caprine, equine, feline, ovine, canine, chicken and turkey subjects, most preferably human subjects.

An "active immune response" or "active immunity" is characterized by "participation of host tissues and cells after an encounter with the immunogen. It involves differentiation and proliferation of immunocompetent cells in lymphoreticular tissues, which lead to synthesis of antibody or the development cell-mediated reactivity, or both." Herbert B. Herscovitz, *Immunophysiology: Cell Function and Cellular Interactions in Antibody Formation*, in IMMUNOLOGY: BASIC PROCESSES 117 (Joseph A. Bellanti ed., 1985). Alternatively stated, an active immune response is mounted by the host after exposure to immunogens by infection or by vaccination: Active immunity can be contrasted with passive immunity, which is acquired through the "transfer of preformed substances (antibody, transfer factor, thymic graft, interleukin-2) from an actively immunized host to a non-immune host." *Id.*

The terms "protective" immune response or "protective" immunity against cancer or tumor cells, as used herein, are intended to mean that the host mounts an active immune response against cancer or tumor cells, respectively. While not wishing to be bound by any particular theory of the invention, typically the protective immune response or protective immunity will arise when the host mounts an active immune response to native cancer antigens as well as to the artificial cancer cell antigen. A protective immune response is one that is beneficial to the host in that it prevents or reduces the incidence of cancer and/or is useful in the treatment of cancer, for example, by causing regression of the cancer and/or by preventing metastasis and/or by preventing growth of metastatic nodules. Such protective effects may be complete or partial, as long as the benefits of the treatment outweigh any disadvantages thereof.

The terms "vaccination" or "immunization" are well-understood in the art. For example, the terms vaccination or immunization can be understood to be a process that increases an organism's immune reaction to antigen and therefore to resist or overcome infection. In the case of the present invention, vaccination or immunization against artificial or native cancer cell antigens will increase the organism's immune response and resistance to invasion by cancer cells.

A. The Use of Pre-Existing Immune Responses in the Treatment or Prevention of Cancer.

5 This embodiment of the invention is based on the manipulation of a pre-existing immune response, typically active, to an immunogen. The pre-existing immune response can be a result of vaccination (*e.g.*, childhood vaccination) or natural exposure. According to this embodiment, individual cancer patients are screened for their particular immune responses to a panel of common infectious  
10 disease antigens or other wide-spread antigens. An antigen(s) is chosen from the panel to which the patient already shows a relatively strong immune response. Preferably, an antigen(s) is chosen to which the patient shows a comprehensive (*i.e.*, both cellular and humoral) immune response.

In particular preferred embodiments, cancer cells are then removed from the  
15 cancer patient (as described above) and genetically modified to express the selected antigen(s). The modified cancer cells, expressing the artificial cancer cell antigen(s) are then re-introduced into the patient. Alternatively, the modified cells can be from another donor, from a stock of stored cells, or from cultured cells, each as described hereinabove. Preferably, the artificial cancer cell antigen(s) is a cell-surface protein  
20 or peptide. The patient's pre-existing immunity against the expressed antigen(s) will be directed against the artificial cancer cell antigen(s) expressed by the modified cancer cells. In addition, an immune response will be elicited against native (*i.e.*, naturally-occurring) tumor antigens, typically as a result of epitope spread. This cross-priming reaction against the native tumor antigens will result in an active and  
25 protective immune response against unmodified cancer cells as well.

Accordingly, this embodiment of the invention provides a method of treating existing cancers. In particular, the present invention provides a method of treating existing tumors by causing the regression of the tumor. In addition, the present invention provides a method of preventing metastasis to secondary loci or growth of  
30 existing metastatic nodules.

The patient's existing immune response to the panel of antigens can be assessed by any method known to those skilled in the art. Preferably, both cellular and humoral immune responses are assessed. Exemplary methods include

radioimmunoassay, ELISAs (or any other method employing an antibody against the antigen), and cellular proliferation responses.

The strategy presented above advantageously relies on pre-existing immunity of a cancer patient. Alternatively, the present invention can be carried out by  
5 inducing immunity to an artificial cancer cell antigen to which the patient has not previously been exposed. However, cancer patients are generally immunosuppressed, and it is preferable to rely on an existing immune response rather than inducing a primary immune response to an artificial cancer cell antigen.

As a further alternative, vaccination with an artificial cancer antigen can be  
10 used as a prophylactic to prevent cancer as described in more detail in Section C below.

The pre-existing immunity can arise from vaccination (*e.g.*, childhood vaccination programs) or from natural exposure to the antigen. Exemplary antigens are infectious disease antigens, including but not limited to, influenza antigens, polio  
15 antigens, herpes antigens (*e.g.*, CMV, EBV, HSV), mumps antigens, measles antigens, rubella antigens, diphtheria toxin or other diphtheria antigens, pertussis antigens, hepatitis (*e.g.*, hepatitis A and hepatitis B) antigens, or any other vaccine antigen or other wide-spread antigen to which a substantial portion of the population has been exposed. Optionally, and preferably, the subject is given a booster  
20 vaccination against the artificial antigen prior to introduction of the modified cells into the subject, as described in more detail in Section B below.

Any suitable vaccine and method of vaccination (*i.e.*, immunization) known in the art may be employed in carrying out the present invention, as long as an active immune response against the antigen is elicited. Additionally, when administered  
25 according to the present invention, the artificial cancer cell antigen should be able to induce an active and protective immune response against unmodified cancer cells. Exemplary vaccination methods include, but are not limited to, "naked DNA" vaccines, viral and bacterial vector vaccines, liposome associated antigen vaccines, and peptide vaccines. Vaccines may be live vaccines, attenuated vaccines, killed  
30 vaccines, or subunit vaccines. Methods of vaccinating animals and humans against immunogens are well-known in the art. *See, e.g.*, S. Crowe et al., *Infections of the Immune System*, in *Basic and Clinical Immunology*, 697-715 (D. P. Stites & A. I. Terr, eds., 7<sup>th</sup> ed. 1991).

Any suitable bacterial or virus vector may be used. Preferred vaccination methods employ RNA virus vectors (*e.g.*, negative-strand RNA virus vectors and positive-strand RNA virus vectors), more preferably, positive-strand RNA virus vectors (*e.g.*, alphavirus vectors, retrovirus vectors, adenovirus vectors, pox-virus vectors, *e.g.*, vaccinia vectors). Even more preferred are alphavirus vectors, yet more preferred are VEE vectors. Also preferred are alphavirus and VEE replicon particle vectors (as described below in Section E). Also preferred are alphavirus vectors in which the nucleotide sequence encoding the antigen is operably associated with an alphavirus 26S subgenomic promoter.

**B. The Use of Vaccination to Boost the Patient's Pre-Existing Immunity to an Artificial Tumor Antigen.**

In treating a cancer patient using pre-existing immunity as described above, preferred are methods wherein the cancer patient is given a booster immunization with the selected artificial cancer cell antigen(s) prior to inoculation of the modified cancer cells expressing the artificial antigen. More preferably, the patient is given at least two boosters against the selected antigen(s). The booster may be given before or after the detection of cancer in the subject. Boosters may be administered before or after inoculation of the modified cancer cells into the patient. Typically, multiple booster inoculations will be spaced three, four, five, six, seven, eight, or more, weeks apart. Preferably, the boosters are spaced one to two months apart.

In preferred embodiments of the invention, the cancer patient's pre-existing immune response to an artificial cancer cell antigen is boosted by vaccination with an alphavirus vector expressing an artificial cancer cell antigen. More preferably, the alphavirus replicon vector is a VEE vector. More preferred are propagation-defective alphavirus and VEE replicon particle vectors. Replicon vectors are described in U.S. Patent No. 5,792,462 to Johnston et al., co-pending United States Application Ser. No. 09/122,286, and co-pending United States Application Ser. No. 08/981,159, the disclosures of which are incorporated herein by reference in their entirety.

Alphaviruses and replicon vectors are described in more detail below in Section E.

Vaccination can be by any means known in the art, as described above, but is preferably by parenteral routes (*e.g.*, subcutaneous, intracerebral, intradermal, intramuscular, intravenous, intraarticular), most preferably by subcutaneous injection. The dose of virus is not critical as long as it is sufficient to induce an active immune



response to the expressed antigen. Typically,  $10^6$  to  $10^8$  infectious units of virus are inoculated per vaccination. Preferably, the subject is vaccinated at least twice, with the inoculations being spaced one to two months apart.

5 C. The Use of Vaccination to Create an Immune Response to an Artificial Cancer Cell Antigen.

In an alternate embodiment, the present invention is used to induce an immune response to an artificial cancer cell antigen(s), including artificial tumor antigen(s). In other words, this embodiment does not rely on a pre-existing immune response.

- 10 This embodiment may be practiced prior to or following the detection of cancer in a subject. Vaccination may be carried out by any suitable method, as described above. Preferably, alphavirus vectors, more preferably VEE vectors, each as described below, are employed to vaccinate against the artificial cancer cell antigen. More preferably alphavirus or VEE replicon vectors are used.
- 15 Vaccination with the artificial cancer cell antigen(s) can be employed to induce an immune response prior to the detection of cancer as a preventative, prophylactic measure. Such a vaccination program in children (*i.e.*, neonates, infants, juveniles or adolescents) and/or adults creates a universal artificial cancer antigen. This type of program would establish a primary and active immune response to the
- 20 artificial cancer antigen before cancer develops. When a vaccinated individual develops cancer, regardless of the specific type of cancer or the native cancer antigens present, the immune response to the artificial cancer antigen would already be in place, allowing treatment by injecting cancer cells into the patient that have been genetically modified to express the artificial cancer antigen(s). Accordingly, this
- 25 embodiment of the invention provides a unique strategy for vaccination against many different forms of cancer using a universal artificial cancer antigen. A universal cancer cell antigen can be used in the prevention or treatment of any cancer regardless of the type of cancer or the native antigens present on the cancer cells.

- In particular embodiments, individuals can be vaccinated against an artificial
- 30 cancer cell antigen(s), preferably as a child, and then given a booster as an adult. Alternatively, children and adults, preferably only adults, may be administered periodic boosters with the vaccine (*e.g.*, every 1, 2, 3, 5, 10, or 20 years). As a further preferred alternative, when a vaccinated individual develops cancer, he or she can be given a booster(s) against the artificial antigen after diagnosis of the cancer.

Preferably, at least one of the boosters would be before introduction of modified cancer cells into the patient, as described above.

As a further alternative, vaccination against an artificial cancer cell antigen(s) can be used in patients who have already been diagnosed with cancer. The patient is vaccinated against an artificial cancer cell antigen, as described above. Cancer cells are then removed from the donor patient and modified to express the artificial antigen, as described above. When the cells are introduced into the recipient patient, the immune response to the artificial cancer cell antigen will result in an immune response to native cancer cell antigens, typically by epitope spread. This embodiment of the invention can be used both to treat primary cancers (including tumors) and/or to prevent metastasis and/or to prevent growth of metastatic nodules. Preferably, the patient is given at least one vaccine booster, either before or after introduction of modified cancer cells into the patient.

As yet a further alternative and preferred embodiment, the present invention can be carried out to vaccinate individuals at risk for developing cancer. At risk individuals include, but are not limited to, individuals with a family history of cancer, individuals who have previously been treated for cancer, individuals who have been exposed to carcinogens, individuals with a history of smoking, individuals exposed to medications or medical treatments associated with the development of cancer (e.g., estrogens or radiation therapy), individuals determined to have an increased likelihood of developing cancer by genetic testing, and individuals presenting any other clinical indicia suggesting that they have an increased likelihood of developing cancer. Alternatively stated, an at risk individual is any individual who is believed to be at a higher risk than the general population for developing cancer. The present invention can be employed to immunize at risk individuals against an artificial cancer cell antigen, so that an immune response will be in place should cancer develop.

Vaccinations and boosters may be carried out by any means known in the art, as described above. Preferably an alphavirus vector expressing an artificial cancer cell antigen, more preferably a VEE vector, yet more preferably an alphavirus or VEE replicon vector, expressing an artificial cancer cell antigen, each as described below, is employed.

D. The Use of Vaccination to Create an Immune Response to Native Cancer Antigens.

In an alternate embodiment, individuals (*e.g.*, those who are at risk, as defined above, for developing a specific cancer) can be given preventive treatment by  
5 vaccination against specific cancer antigens. The vaccine can be used to deliver a known cancer antigen to individuals in known risk groups (*e.g.*, for breast, ovarian, colon, lung or prostate cancers or melanoma). Vaccination may be by any suitable method known in the art, as described in more detail above.

In a preferred embodiment, alphavirus vectors, preferably VEE vectors, more  
10 preferably alphavirus and VEE replicon vectors, can be used to induce an immune response to a specific cancer antigen in cancer patients. Alphavirus replicon vectors, and in particular VEE replicons, produce a stronger and more comprehensive immune response than do conventional vaccination methods. Accordingly, alphavirus vectors can successfully vaccinate against native cancer and tumor cell antigens where other  
15 vaccination methods have failed. By a comprehensive immune response, it is meant that vaccination with alphavirus replicon vectors, in particular VEE replicon vectors, induces an advantageous balance of both cellular and humoral immune responses. Thus, alphavirus vectors, preferably VEE vectors, can be used to induce an immune response against a cancer cell antigen which fails to induce an effective immune  
20 response, although already present in the cancer cells. Such post-diagnosis vaccination programs can be used to treat the primary cancer and/or to prevent metastasis and/or to prevent growth of metastatic nodules.

As a further alternative, vaccination with alphavirus vectors can be used to treat existing cancers. Subjects that have been diagnosed as having cancer can be  
25 immunized with an alphavirus vector carrying a nucleotide sequence encoding a specific cancer cell antigen (preferably, a cell-surface cancer antigen). Preferably, the nucleotide sequence encoding the specific cancer cell antigen is operably associated with a strong promoter, *e.g.*, an alphavirus subgenomic 26S promoter. Vaccination against the native cancer cell antigen results in an immune response against the cancer  
30 cells bearing the antigen.

Any native cancer cell antigen can be employed according to the present invention. Preferred are native cancer antigens that are expressed on the surface of the cancer cell. Exemplary native cancer cell antigens for specific breast cancers are the *HER2* and *BRCA1* antigens. Other illustrative cancer and tumor cell antigens are

described in S. A. Rosenberg ((1999) *Immunity* 10:281-87) and include, but are not limited to: MART-1/MelanA, gp100, tyrosinase, TRP-1, TRP-2, MAGE-1, MAGE-3, GAGE-1/2, BAGE, RAGE, NY-ESO-1, CDK-4,  $\beta$ -catenin, MUM-1, Caspase-8, KIAA0205, HPVE7, SART-1, PRAME, and p15 antigens.

5

**E. Alphaviruses and Alphavirus Replicon Vectors.**

The present invention is preferably, and advantageously, employed using alphavirus vaccines, more preferably alphavirus replicon vaccines. Alphavirus and replicon vectors are described in U.S. Patent No. 5,792,462 to Johnston et al., co-pending United States Application Ser. No. 09/122,286, co-pending United States Application Ser. No. 08/981,159, U.S. Patent No. 5,814,482 to Dubensky et al., U.S. Patent No. 5,843,723 to Dubensky et al., U.S. Patent No. 5,789,245 to Dubensky et al., U.S. Patent No. 5,739,026 to Garoff et al., the disclosures of which are incorporated herein by reference in their entirety.

Alphavirus replicon vaccines, and in particular VEE replicon vaccines, elicit a strong host response to immunogen. While not wishing to be held to any particular theory of the invention, it appears that alphavirus replicon vectors induce a more balanced and comprehensive immune response (*i.e.*, cellular and humoral immunity) than do conventional vaccination methods. Moreover, it appears that alphavirus vaccines induce an strong immune response, in part, because they directly infect and replicate within dendritic cells. The resulting presentation of antigen to the immune system induces a strong immune response. The alphavirus 26S subgenomic promoter also appears to give high level of expression of a heterologous nucleic acid encoding antigen. VEE replicon vaccines are able to induce an immune response in recipient animals greater than that seen in animals actually infected with the corresponding infectious organism or virus.

The term "alphavirus" has its conventional meaning in the art, and includes the various species of alphaviruses such as Eastern Equine Encephalitis virus (EEE), Venezuelan Equine Encephalitis virus (VEE), Everglades virus, Mucambo virus, Pixuna virus, Western Encephalitis virus (WEE), Sindbis virus, South African Arbovirus No. 86 (S.A.AR86), Girdwood S.A. virus, Ockelbo virus, Semliki Forest virus, Middelburg virus, Chikungunya virus, O'Nyong-Nyong virus, Ross River virus, Barmah Forest virus, Getah virus, Sagiya virus, Bebaru virus, Mayaro virus, Una virus, Aura virus,

Whataroa virus, Babanki virus, Kyzlagach virus, Highlands J virus, Fort Morgan virus, Ndumu virus, Buggy Creek virus, and any other virus classified by the International Committee on Taxonomy of Viruses (ICTV) as an alphavirus. The preferred alphavirus for use in the present invention is VEE.

- 5           An "Old World alphavirus" is a virus that is primarily distributed throughout the Old World. Alternately stated, an Old World alphavirus is a virus that is primarily distributed throughout Africa, Asia, Australia and New Zealand, or Europe. Exemplary Old World viruses include SF group alphaviruses and SIN group alphaviruses. SF group alphaviruses include Semliki Forest virus, Middelburg virus, Chikungunya virus,
- 10   O'Nyong-Nyong virus, Ross River virus, Barmah Forest virus, Getah virus, Sagiya virus, Bebaru virus, Mayaro virus, and Una virus. SIN group alphaviruses include Sindbis virus, South African Arbovirus No. 86, Ockelbo virus, Girdwood S.A. virus, Aura virus, Whataroa virus, Babanki virus, and Kyzlagach virus.

- Preferred are alphaviruses including attenuating mutations. The phrases
- 15   "attenuating mutation" and "attenuating amino acid," as used herein, mean a nucleotide sequence containing a mutation, or an amino acid encoded by a nucleotide sequence containing a mutation, which mutation results in a decreased probability of causing disease in its host (*i.e.*, a loss of virulence), in accordance with standard terminology in the art, whether the mutation be a substitution mutation or an in-frame deletion mutation.
- 20   *See, e.g.*, B. Davis et al., MICROBIOLOGY 132 (3d ed. 1980). The phrase "attenuating mutation" excludes mutations or combinations of mutations which would be lethal to the virus.

- Appropriate attenuating mutations will be dependent upon the alphavirus used. Suitable attenuating mutations within the alphavirus genome will be known to those
- 25   skilled in the art. Exemplary attenuating mutations include, but are not limited to, those described in United States Patent No. 5,505,947 to Johnston et al., U.S. Patent No. 5,185,440 to Johnston et al., U.S. Patent No. 5,643,576 to Davis et al., U.S. Patent No. 5,792,462 to Johnston et al., and U.S. Patent No. 5,639,650 to Johnston et al., the disclosures of which are incorporated herein in their entirety by reference.

- 30           When the alphavirus capsid is from VEE, suitable attenuating mutations may be selected from the group consisting of codons at E2 amino acid position 76 which specify an attenuating amino acid, preferably lysine, arginine, or histidine as E2 amino acid 76; codons at E2 amino acid position 120 which specify an attenuating amino acid, preferably lysine as E2 amino acid 120; codons at E2 amino acid position 209 which

specify an attenuating amino acid, preferably lysine, arginine or histidine as E2 amino acid 209; codons at E1 amino acid 272 which specify an attenuating amino acid, preferably threonine or serine as E1 amino acid 272; codons at E1 amino acid 81 which specify an attenuating amino acid, preferably isoleucine or leucine as E1 amino acid 81; 5 codons at E1 amino acid 253 which specify an attenuating amino acid, preferably serine or threonine as E1 amino acid 253; or the deletion of E3 amino acids 56-69, or a combination of the deletion of E3 amino acids 56-59 together with codons at E1 amino acid 253 which specify an attenuating mutation, as provided above.

When the alphavirus is the South African Arbovirus No. 86 (S.A.AR86), 10 exemplary attenuating mutations in the structural and non-structural proteins include, but are not limited to, codons at nsP1 amino acid position 538 which specify an attenuating amino acid, preferably isoleucine as nsP1 amino acid 538; codons at E2 amino acid position 304 which specify an attenuating amino acid, preferably threonine as E2 amino acid 304; codons at E2 amino acid position 314 which specify an attenuating amino acid, 15 preferably lysine as E2 amino acid 314; codons at E2 amino acid 372 which specify an attenuating amino acid, preferably leucine, at E2 amino acid residue 372; codons at E2 amino acid position 376 which specify an attenuating amino acid, preferably alanine as E2 amino acid 376; in combination, codons at E2 amino acid residues 304, 314, 372 and 376 which specify attenuating amino acids, as described above; codons at nsP2 amino 20 acid position 96 which specify an attenuating amino acid, preferably glycine as nsP2 amino acid 96; and codons at nsP2 amino acid position 372 which specify an attenuating amino acid, preferably valine as nsP2 amino acid 372; in combination, codons at nsP2 amino acid residues 96 and 372 which encode attenuating amino acids at nsP2 amino acid residues 96 and 372, as described above; codons at nsP2 amino acid residue 529 25 which specify an attenuating amino acid, preferably leucine, at nsP2 amino acid residue 529; codons at nsP2 amino acid residue 571 which specify an attenuating amino acid, preferably asparagine, at nsP2 amino acid residue 571; codons at nsP2 amino acid residue 682 which specify an attenuating amino acid, preferably arginine, at nsP2 amino acid residue 682; codons at nsP2 amino acid residue 804 which specify an attenuating 30 amino acid, preferably arginine, at nsP2 amino acid residue 804; codons at nsP3 amino acid residue 22 which specify an attenuating amino acid, preferably arginine, at nsP3 amino acid residue 22; and in combination, codons at nsP2 amino acid residues 529, 571, 682 and 804 and at nsP3 amino acid residue 22 which specify attenuating amino acids, as described above..

Also preferred are alphavirus vectors in which there is a mutation in the capsid protease that ablates the autoprotease activity of the capsid and results, therefore, in non-viable virus. Capsid mutations that reduce or ablate the capsid autoprotease activity of the alphavirus capsid are known in the art and are described in United States Patent No. 5,792,462 to Johnston et al., co-pending United States Application Ser. No. 09/122,286 and co-pending United States Application Ser. No. 08/981,159.

Attenuating mutations may be introduced into the alphavirus RNA by performing site-directed mutagenesis on the cDNA which encodes the RNA, in accordance with known procedures. *See*, Kunkel, *Proc. Natl. Acad. Sci. USA* 82, 488 (1985), the disclosure of which is incorporated herein by reference in its entirety. Alternatively, mutations may be introduced into the RNA by replacement of homologous restriction fragments in the cDNA which encodes for the RNA, in accordance with known procedures.

It is also desirable to employ an alphavirus vector that encodes two or more (*e.g.*, two, three, four, five, *etc.*) heterologous nucleic acid sequences, preferably each encoding an antigen according to the present invention. Each heterologous nucleic acid sequence will typically be operably associated with a promoter. Alternatively, an internal ribosome entry site (IRES) sequence(s) can be placed downstream of a promoter and upstream of the heterologous nucleic acid sequence(s). The heterologous nucleic acid sequences can be associated with a constitutive or inducible promoter. The alphavirus 26S subgenomic promoter is preferred, with the VEE 26S subgenomic promoter being most preferred.

While the present invention has been described with respect to the treatment of cancer, it will be appreciated by those skilled in the art that in addition to the treatment of cancer, the present invention can be carried out to treat any type of undesired cell proliferation, as well as psoriasis.

The following examples are provided to illustrate the present invention, and should not be construed as limiting thereof.

#### 30 Example 1

*Live virus vaccines:* Propagation incompetent, VEE replicon vectors (VRP) previously described (P. Pushko et al., (1998) *Virology* 239:389-401) were used in these studies to deliver either an artificial tumor antigen, hemagglutinin (HA), from the influenza virus (PR/8/34, type H1N1) (P. Pushko et al., (1998) *Virology* 239:389-

401) or an irrelevant antigen, SIV matrix/capsid, (M/C) (N. L. Davis et al., (1999) *Proc. Natl. Acad. Sci. USA*, submitted). Specifically, The HA or M/C gene were cloned into the VEE replicon expression vector behind the 26S subgenomic promoter, replacing the structural genes. To minimize the possibility of full length recombinant virus arising during the replicon particle packaging, the structural genes were provided in *trans* by two separate helper vectors, one expressing VEE capsid and the other expressing the VEE glycoprotein genes. Electroporation of RNA transcripts from all three vectors into baby hamster kidney cells (BHK) resulted in the production of infectious VEE replicon particles (VRP) which contain only the replicon expression vector genome. These particles can undergo only one round of infection during which the heterologous gene is expressed at high levels. To safeguard against the possibility that pathogenic, propagation competent particles might arise during the VRP packaging process, replicon particles were packaged using a glycoprotein helper vector that contained an attenuating mutation (V3014; E2 209 Glu→Lys; N. L. Davis et al., (1991) *Virology* 183:20-31). VRP were prepared as previously described and purified over a sucrose cushion (P. Pushko et al., (1998) *Virology* 239:389-401).

### Example 2

The gene for the Influenza hemagglutinin Puerto Rico 8 (HA PR8) was excised from the VRep construct using HindIII. It was cloned into pBluescript II SK- (Stratagene) at the HindIII site such that the 5' end of the gene was on the Sall side of the polylinker (HA PR8-SK). The HA PR8 gene was excised from this construct using Sall and BamHI and cloned into the pH-beta vector (P. Gunning et al., (1987) *Proc. Natl. Acad. Sci. U.S.A.* 84:4831) at the same sites (HA PR8-pH-beta). This construct drives expression of the introduced gene with the  $\beta$ -actin promoter and contains the gene coding for geneticin resistance.

The LINE1 mouse lung carcinoma has been described previously. B.A. Pulaski et al., (1993) *Cancer Res.* 53:2112. HA PR8-pHb was transfected into LINE1 cells via calcium phosphate precipitation as previously described. B. K. Martin et al., (1997) *Immunity* 6:591. Forty hours after transfection the cells were split 1:20 into media containing geneticin (Sigma, 800 mg/ml). Thereafter, the cells were split before they became confluent, until all cells on a mock transfected plate had died. Cells in this polyclonal pool were cloned by limiting dilution (at 0.2 cells per wells).



- Clones arising after limiting dilution were tested for HA expression by FACS analysis using a rabbit anti-HA polyclonal antiserum. Cells were incubated in anti-HA antiserum (1:100) in PBS for 30 minutes. Cells were washed two times in PBS followed by incubation in goat anti-rabbit IgG FITC (Pharmingen) for 20 minutes.
- 5 Cells were washed three times in PBS and then analyzed on the Becton-Dickinson FACScan. In order to determine the stability of the HA expression, cells that were identified as being positive for HA expression were cultured in media without geneticin for three weeks. These clones were then analyzed by FACS for HA
- 10 HA expression, even in the absence of geneticin selection. This clone was designated LINE1-HA 2A9. This clone was used in subsequent mouse experiments.

### Example 3

- Mice:* Three groups of 8 BALBC 7-8 week old female mice (Jackson Labs) were
- 15 inoculated with either  $5 \times 10^5$  infectious units (IU) of either V3014-HA VRP, V3014-M/C VRP or diluent (phosphate buffered saline with 1% donor calf serum), subcutaneously in the rear footpad. Mice were boosted three weeks later by the same protocol, and three weeks following were inoculated intramuscularly (im) in the same calf with either  $10^3$  LINE1 tumor cells or LINE1 cells expressing HA (LINE1-HA).
- 20 The growth of the tumor was monitored by comparison of right and left calf diameter. Animals were sacrificed when the tumor diameter exceeded 0.5 inches.

- Sections of tumor and normal muscle were fixed in 4% paraformaldehyde and frozen sections were immunostained with antiserum to flu type H1N1 (kindly provided by R. Webster, St. Jude's Children Hospital, Memphis, TN), visualized
- 25 using an anti-rabbit-HRP second antibody, and counter-stained with hematoxylin.

### Example 4

- Since VEE specifically targets DC and there is intense interest in these cells for tumor immunotherapy, we hypothesized that VEE would be a good candidate to
- 30 stimulate tumor immunity *in vivo*. We have used this technology to protect mice against a poorly immunogenic lung carcinoma, LINE1 (B. K. Martin et al., (1997) *Immunity* 6:591) that was molecularly engineered to express the influenza hemagglutinin gene (HA) as a model tumor antigen.

LINE1 cells were engineered to express HA on the cell surface by transfection of a plasmid encoding the HA gene under control of the actin promoter and containing the gene for G418 resistance as described above in **Example 2**. LINE1-HA clones were screened for stable expression of HA by FACS analysis. One clone was selected  
5 based on high, stable expression of HA in the absence of G418 drug selection (data not shown). Mice inoculated with  $10^3$  LINE1-HA cells intra-muscularly (im) in calf developed palpable nodules by day 11 post-inoculation (pi). These tumors grew progressively and growth was readily monitored by external caliper measurement of the calf (see **Figure 1, panels A and B**). Maintenance of HA expression was  
10 confirmed by immunohistochemical staining in tumors that had reached the maximum allowed size (data not shown).

Propagation incompetent VRPs, previously described (P. Pushko et al., (1998) *Virology* 239:389-401), were used to deliver either the model tumor antigen, HA, or an irrelevant control antigen, SIV matrix/capsid (M/C). While these viral particles  
15 that lack VEE structural genes are fully infectious, they and can undergo only one round of infection, during which the heterologous gene is expressed at high levels. To safeguard against the possibility that pathogenic, propagation competent particles might arise during the VRP packaging process, replicon particles were packaged using a glycoprotein helper vector that contained an attenuating mutation (V3014; E2  
20 209 Glu→Lys; N. L. Davis et al., (1991) *Virology* 183:20-31).

Three groups of 8 BALBC mice were inoculated with  $1 \times 10^6$  infectious units (IU) of either VRP-HA, VRP-M/C or diluent (phosphate buffered saline with 1% donor calf serum), subcutaneously (sc) in the rear footpad. Mice were boosted three weeks later by the same protocol, and three weeks following were inoculated im in  
25 the same calf with  $10^3$  LINE1-HA tumor cells. The growth of the tumor was monitored both by manual palpation and caliper measurements of right and left calf diameters. Vaccination of mice with VRP-HA significantly inhibited the incidence of tumor establishment (**Figure 1**). Palpable nodules were first detected by day 11 in 25% and 50% of the mice in the mock and VRP-M/C control groups respectively. By  
30 day 15 one hundred percent of mice in both control groups were positive for palpable tumors. These tumors continued to grow and increase in size to 0.5 inches in diameter, at which point mice were sacrificed (**Figure 1A**). In contrast, only one out of eight mice vaccinated with VRP-HA developed a palpable nodule by day fifteen. However, this nodule never grew above 0.2 inches in diameter and was resolved to be

undetectable by palpation at day 28. The average calf measurement in the VRP-HA group was significantly less than that of both control groups starting at day 11 ( $p < 0.01$ ) in spite of the presence of a detectable mass in one mouse (Figure 1).

5

#### Example 5

To test the effect of VRP-HA on tumor regression, 7 mice from the negative control groups from Example 3 and Example 4, above, with tumors under 0.3 inches in diameter were immunized with  $10^6$  IU of VRP-HA on day 31 post-LINE1-HA inoculation.

10

Treatment of mice from the negative control group with tumors less than 0.3 inches in diameter with VRP-HA resulted in complete regression of tumors in two of seven mice (Figure 2). No similar regression in untreated mice was observed.

#### Example 6

15

To determine whether anti-LINE1-HA immunity induced by immunization with VRP-HA could now confer cross-protection to LINE1 tumor cells not expressing HA, mice resistant to LINE1-HA tumors were inoculated on day 48 (post-LINE1-HA inoculation) with LINE1 tumor cells not expressing HA. Eight mice from the group pre-immunized with VRP-HA before LINE1-HA inoculation and which never developed tumors (Example 3 and Example 4, above), two mice from the negative control group whose tumors regressed after receiving VRP-HA (Example 5), and four naïve mice which had never been immunized with either VRP-HA or LINE1-HA were injected im with  $10^3$  LINE1 cells in either the left or right calf and tumor growth was monitored as described above.

25

Immunity to LINE1-HA tumors significantly delayed or prevented LINE1 tumor growth in 8 out of 10 mice tested (Figure 3). While all four naïve mice rapidly developed LINE1 tumors, only two of the ten LINE1-HA immune mice developed LINE1 tumors with similar kinetics. While four immune mice had a significant delay in the onset of LINE1 tumor growth, four mice were completely resistant to tumor establishment. There was no correlation between delay in tumor growth and inoculation of LINE1 cells in the right or left calf.

30

Half of the mice injected with LINE1 cells were injected in the left calf, and half were injected in the right calf. The original inoculation with VPR-HA (Example 2) was in the left calf, and this experimental design was employed to determine

whether the protective effects of the first set of inoculations with VRP-HA would be local or systemic effects. There was no difference in tumor growth between mice injected with LINE1 cells in either the left or right calves. These results indicate that the immunity provided by VRP-HA inoculation against LINE1 cells was systemic in effect and not limited to the area around the original site of inoculation.

### Example 7

Although previous studies have demonstrated that mice inoculated with VRP expressing heterologous antigens, including HA, develop very high antibody titers to those antigens (P. Pushko et al., (1998) *Virology* 239:389-401; N. L. Davis et al., (1999) *Proc. Natl. Acad. Sci. USA*, submitted), less is known about the capacity of VRP immunizations to stimulate CTL activity in mice. Induction of CTL is very important for vigorous antitumor immunity, therefore we investigated that capacity of VEE inoculation to induce this response.

Mice were vaccinated with VRP-HA or VRP-M/C as above and whole splenocytes were removed, expanded *in vitro* and tested for HA-specific CTL activity. Mice vaccinated with VRP-HA developed significant HA-specific CTL activity (Figure 4, Panel B), compared to mice receiving VRP-M/C (Figure 4, Panel A), demonstrating that this protocol can successfully generate HA-specific CTLs. Although, we had shown that VRP-HA inoculation could generate CTL, it was not known whether this cellular population are the effectors responsible for tumor protection. To determine if the CTL compartment was responsible for antitumor immunity, mice were vaccinated with either VRP-HA or VRP-M/C as above with an additional boost. Splenocytes were fractionated into CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells and flow-through cells (B-cells and other splenocytes) and transferred intravenously to naïve recipient mice. Forty-eight hours later recipient mice and naïve mice were challenged with LINE1-HA tumor cells as described previously. Mice that had received VRP-HA immune CD8<sup>+</sup> T cells had significantly smaller tumors than mice receiving either CD8<sup>+</sup> T cells from mice vaccinated with VRP-M/C ( $p=0.034$  at day 31) (Figure 5). Mice injected with CD4<sup>+</sup> T cells or flow-through cells from HA inoculated mice did not have significantly different growth from mice injected with the same cells from VRP-M/C mice. These results along with the ability to demonstrate significant HA-specific CTL activity suggest that CD8<sup>+</sup> CTL activity protects mice against tumor growth. However, the protection achieved with CD8<sup>+</sup> T

cell transfer was inferior to that developed with viral inoculation in that all mice did eventually develop tumors. This suggests that other cells are required for full protection. This could include helper T cells, B cells and/or dendritic cells.

The data presented herein demonstrate for the first time that a virus  
5 specifically targeted to dendritic cells can be an efficient *in vivo* vector system for delivery of tumor antigen genes to DC. A number of viruses, including retrovirus, adenovirus and vaccinia have been shown to infect DC *in vitro* (J. M. Specht et al., (1997) *J. Experimental Medicine* 186:1213-1221; P. Brossart et al., (1997) *J. Immunology* 158: 3270-76, M. De Veerman et al., (1999) *J. Immunology* 162:144-  
10 151) and in many cases specific infection of DC can lead to protective tumor immunity when the DC are infused back into the mice.

#### Example 8

Three groups of BALBC mice are inoculated sc in the left rear foot pad with  
15 either diluent or  $10^6$  IU of either VRP-HA or VRP-M/C. Mice are boosted three weeks later by the same protocol. Three weeks following the boost, mice are injected im in the left calf muscle with  $10^3$  LINE1 cells. After tumors have developed, the animals are injected im in the left calf muscle with  $10^3$  LINE1-HA cells, and tumor regression is monitored. VRP-HA inoculated animals show regression of LINE1  
20 tumors following injection of LINE1-HA cells. The groups of animals inoculated with diluent or VRP-M/C show no significant tumor regression.

#### Example 9

Three groups of BALBC mice are injected im in the left calf muscle with  $10^3$   
25 LINE1 cells. After tumors have developed, the animals are inoculated sc in the left rear foot pad with either diluent or  $10^6$  IU of either VRP-HA or VRP-M/C. Mice are boosted three weeks later by the same protocol. Three weeks following the boost, the animals are injected im in the left calf muscle with  $10^3$  LINE1-HA cells, and tumor regression is monitored. VRP-HA inoculated animals show regression of LINE1  
30 tumors following injection of LINE1-HA cells. The groups of animals inoculated with diluent or VRP-M/C show no significant tumor regression.

**Example 10**

Three groups of BALBC mice are inoculated sc in the left rear foot pad with either diluent or  $10^6$  IU of either VRP-HA or VRP-M/C. Mice are boosted three weeks later by the same protocol. Three weeks following the boost, mice are injected im in the left calf muscle with  $10^3$  melanoma cells. After tumors have developed, the animals are injected im in the left calf muscle with  $10^3$  melanoma cells that have been engineered to express HA (or any other antigen), and tumor regression is monitored. VRP-HA inoculated animals show regression of the tumor following injection of melanoma-HA cells. The groups of animals inoculated with diluent or VRP-M/C show no significant tumor regression.

In a second study, three groups of BALBC mice are injected im in the left calf muscle with  $10^3$  melanoma cells. After tumors have developed, the animals are inoculated sc in the left rear foot pad with either diluent or  $10^6$  IU of either VRP-HA or VRP-M/C. Mice are boosted three weeks later by the same protocol. Three weeks following the boost, the animals are injected im in the left calf muscle with  $10^3$  melanoma-HA cells, and tumor regression is monitored. VRP-HA inoculated animals show tumor regression following injection of melanoma-HA cells. The groups of animals inoculated with diluent or VRP-M/C show no significant tumor regression.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity and understanding, it will be obvious that certain changes and modifications may be practiced within the scope of the appended claims and equivalents thereof.

**That Which Is Claimed Is:**

1. A composition comprising infectious alphavirus particles in an immunogenic effective amount, wherein said alphavirus particles comprise one or more  
5 heterologous nucleotide sequences encoding an antigen; and wherein said antigen is selected from the group consisting of a native cancer cell antigen and an artificial cancer antigen that is not normally expressed by a cancer cell.
2. The composition of Claim 1, wherein said alphavirus particle is an  
10 alphavirus replicon particle.
3. The composition of Claim 1, wherein said alphavirus is a Venezuelan Equine Encephalitis virus.
- 15 4. The composition of Claim 1, wherein said alphavirus comprises one or more attenuating mutations.
5. The composition of Claim 3, wherein said alphavirus comprises one or more attenuating mutations.  
20
6. The composition of Claim 5, wherein at least one of said one or more attenuating mutations is selected from the group consisting of codons at E2 amino acid position 76 which specify an attenuating amino acid, codons at E2 amino acid position 120 which specify an attenuating amino acid, codons at E2 amino acid  
25 position 209 which specify an attenuating amino acid, codons at E1 amino acid 272 which specify an attenuating mutation, codons at E1 amino acid 81 which specify an attenuating mutation, and codons at E1 amino acid 253 which specify an attenuating mutation, and the deletion of E3 amino acids 56-59.
- 30 7. The composition of Claim 1, wherein each of said one or more heterologous nucleotide sequences is operably associated with a promoter.

8. The composition of Claim 7, wherein said promoter operably associated with each of said one or more heterologous nucleotide sequences is an alphavirus 26S subgenomic promoter.

5 9. The composition of Claim 1, wherein at least one of said one or more nucleotide sequences encodes a native cancer cell antigen.

10 10. The composition of Claim 1, wherein at least one of said one or more nucleotide sequences encodes an artificial cancer antigen that is not normally expressed by a cancer cell.

11. The composition of Claim 10, wherein said artificial cancer antigen is an infectious disease antigen.

15 12. The composition of Claim 11, wherein said infectious disease antigen is an influenza hemagglutinin antigen.

20 13. The composition of Claim 10, wherein said at least one nucleotide sequence encoding an antigen is selected from the group consisting of helper T cell epitopes, cytotoxic T cell epitopes, T-dependent B cell epitopes, and T-independent B cell epitopes.

25 14. The composition of Claim 1, wherein at least one of said one or more nucleotide sequences encodes a cell-surface protein or peptide.

15. A pharmaceutical formulation comprising the composition of Claim 1 in a pharmaceutically acceptable carrier.

30 16. A kit for modifying a mammalian cell, comprising:  
(a) assay components for determining the pre-existing immunity of a subject afflicted with cancer to one or more antigens; and  
(b) one or more vectors suitable for introducing and expressing an antigen in a mammalian cell, each of said vectors comprising one or more



heterologous nucleotide sequence(s) encoding an antigen from said one or more antigens.

17. A method for inducing a protective immune response in a subject  
5 afflicted with cancer, comprising:
- (a) modifying a cell to express one or more antigens against which the subject has a pre-existing immunity; and
  - (b) introducing the modified cell into the subject, wherein the modified cell elicits an immune response against the subject's cancer.
- 10
18. A method for inducing a protective immune response in a subject afflicted with cancer, comprising:
- (a) screening a subject afflicted with cancer for pre-existing immunity against one or more antigens;
  - 15 (b) selecting one or more antigens against which the subject demonstrates immunity;
  - (c) modifying a cell to express the one or more selected antigens; and
  - (d) introducing the modified cell into the subject, wherein the modified cell elicits an immune response against the subject's cancer.
- 20
19. The method of Claim 18, wherein the subject's cancer cells and the modified cell share at least one antigen native to the subject's cancer cells.
- 25
20. The method of Claim 18, wherein the cancer is a tumor-forming cancer.
21. The method of Claim 18, wherein the cell to be modified is removed from the subject.
- 30
22. The method of Claim 21, wherein the cell to be modified is a cancer cell.

23. The method of Claim 18 further comprising the step of immunizing the subject against at least one of the one or more selected antigens.

24. The method of Claim 23, wherein said immunizing step is carried out  
5 prior to said introducing step.

25. The method of Claim 23 further comprising a second step of immunizing the subject against at least one of the one or more selected antigens.

10 26. The method of Claim 25, wherein said immunizing step and said second immunizing step each use a different vector.

27. The method of Claim 18, wherein said immunizing step is carried out with an alphavirus vector.  
15

28. The method of Claim 27, wherein the alphavirus is a Venezuelan Equine Encephalitis virus.

29. The method of Claim 18, wherein the subject is a human subject.  
20

30. The method of Claim 18, wherein the pre-existing immunity is the result of childhood vaccination.

31. The method of Claim 18, wherein at least one of the one or more  
25 selected antigens are not typically expressed by the subject's cancer cells.

32. The method of Claim 31, wherein the at least one selected antigen is an infectious disease antigen.

30 33. The method according to Claim 32, wherein the infectious disease antigen is selected from the group consisting of influenza antigens, polio antigens, herpes antigens, mumps antigens, measles antigens, rubella antigens, diphtheria toxin, other diphtheria antigens, pertussis antigens, and hepatitis antigens.

34. The method of Claim 18, wherein at least one of said one or more selected antigens is a cell surface protein or peptide.

35. A method for inducing a protective immune response against cancer in  
5 a subject, comprising:

- (a) immunizing a subject against one or more antigens prior to the detection of cancer in the subject;
- (b) modifying a cell to express the one or more antigens of (a); and
- (c) introducing the modified cell into the subject, wherein the  
10 modified cell elicits an immune response against the subject's cancer.

36. The method of Claim 35, wherein the cancer is a tumor-forming cancer.

15 37. The method of Claim 35, wherein the cell to be modified is removed from the subject.

38. The method of Claim 37, wherein the modified cell is a cancer cell.

20 39. The method of Claim 35 further comprising a second step of immunizing the subject against the one or more antigens.

40. The method of Claim 39, wherein said second immunizing step is carried out prior to said introducing step.

25

41. The method of Claim 39, wherein said second immunizing step is carried out with an alphavirus vector.

42. The method of Claim 35, wherein the subject is determined to be at  
30 risk for the development of cancer.

43. The method of Claim 35, wherein at least one of the one or more antigens is not normally expressed by the subject's cancer cells.

44. The method of Claim 43, wherein the at least one antigen is an infectious disease antigen.

45. The method of Claim 44, wherein the at infectious disease antigen is  
5 selected from the group consisting of influenza antigens, polio antigens, herpes  
antigens, mumps antigens, measles antigens, rubella antigens, diphtheria toxin, other  
diphtheria antigens, pertussis antigens, and hepatitis antigens.

46. The method of Claim 43, wherein the at least one antigen is selected  
10 from the group consisting helper T cell epitopes, cytotoxic T cell epitopes, T-  
dependent B cell epitopes, and T-independent B cell epitopes.

47. The method of Claim 35, wherein at least one of the one or more  
antigens is a native cancer antigen.

15

48. The method of Claim 35, wherein at least one of said one or more  
antigens is a cell-surface protein or peptide.

49. A method for inducing a protective immune response against cancer in  
20 a subject, comprising immunizing a subject against an antigen prior to the detection of  
cancer in the subject, wherein said immunizing step is carried out using an alphavirus  
vector comprising a nucleotide sequence encoding the antigen.

50. The method of Claim 49, wherein said alphavirus vector is an  
25 alphavirus replicon particle vector.

51. The method of Claim 49, wherein said alphavirus vector is a  
Venezuelan Equine Encephalitis virus vector.

52. The method of Claim 49 further comprising a second step of  
30 immunizing the subject against the antigen.

53. The method of Claim 52, wherein said immunizing step and said  
second immunizing step are carried out with different alphavirus vectors.

54. The method of Claim 49, wherein the subject is one determined to be at risk for the development of cancer.

5 55. The method of Claim 49, wherein the antigen is not normally expressed by the subject's cancer cells.

56. The method of Claim 55, wherein the antigen is an infectious disease antigen.

10 57. The method of Claim 49, wherein the antigen is a native cancer antigen.

58. A method for inducing a protective immune response against cancer in a subject, comprising:

(a) immunizing a subject afflicted with cancer against one or more antigens;

(b) modifying a cell to express the one or more antigens of (a); and

(c) introducing the modified cell into the subject, wherein the modified cell elicits an immune response against the subject's cancer.

59. The method of Claim 58, wherein the cancer is a tumor-forming cancer.

25 60. The method of Claim 58, wherein the cell to be modified is removed from the subject.

61. The method of Claim 60, wherein the cell is a cancer cell.

30 62. The method of Claim 58 further comprising a second step of immunizing the subject against at least one of the one or more antigens.

63. The method of Claim 62, wherein said second immunizing step is carried out prior to said introducing step.

64. The method of Claim 63, wherein different vectors are used for said immunizing step and said second immunizing step

5 65. The method of Claim 58, wherein said immunizing step is carried out with an alphavirus vector.

66. The method of Claim 65, wherein the alphavirus is a Venezuelan Equine Encephalitis virus.

10

67. The method of Claim 58, wherein at least one of the one or more antigens is not normally expressed by the subject's cancer cells.

15 68. The method of Claim 67, wherein the at least one antigen is an infectious disease antigen.

69. The method of Claim 58, wherein at least one of the one or more antigens is a native cancer antigen.

20 70. A method for inducing a protective immune response against cancer, comprising the step of immunizing a subject against a native cancer antigen, wherein said immunizing step is carried out using an alphavirus vector comprising a nucleotide sequence encoding a native cancer antigen.

25 71. The method of Claim 70, wherein said alphavirus vector is an alphavirus replicon particle vector.

72. The method of Claim 70, wherein said alphavirus is a Venezuelan Equine Encephalitis virus.

30

73. The method of Claim 70, wherein said subject is determined to be at risk for the development of cancer.

74. The method of Claim 70, further comprising a second step of immunizing the subject against the native cancer antigen.

75. The method of Claim 74, wherein different alphavirus vectors are used  
5 for said immunizing step and said second immunizing step.

76. The method of Claim 70, wherein said immunizing step is carried out before the detection of cancer in the subject.

10 77. The method of Claim 70, wherein said second immunizing step is carried out before the detection of cancer in the subject.

78. The method of Claim 74, wherein said second immunizing step is carried out after the detection of cancer in the subject.

15

79. A method for inducing an immune response against a native cancer antigen, comprising the step of immunizing a subject afflicted with cancer against an antigen native to the subject's cancer, wherein said immunizing step is carried out using an alphavirus vector comprising a nucleotide sequence encoding an antigen  
20 native to the subject's cancer.

80. The method of Claim 79, wherein the alphavirus vector is an alphavirus replicon particle vector.

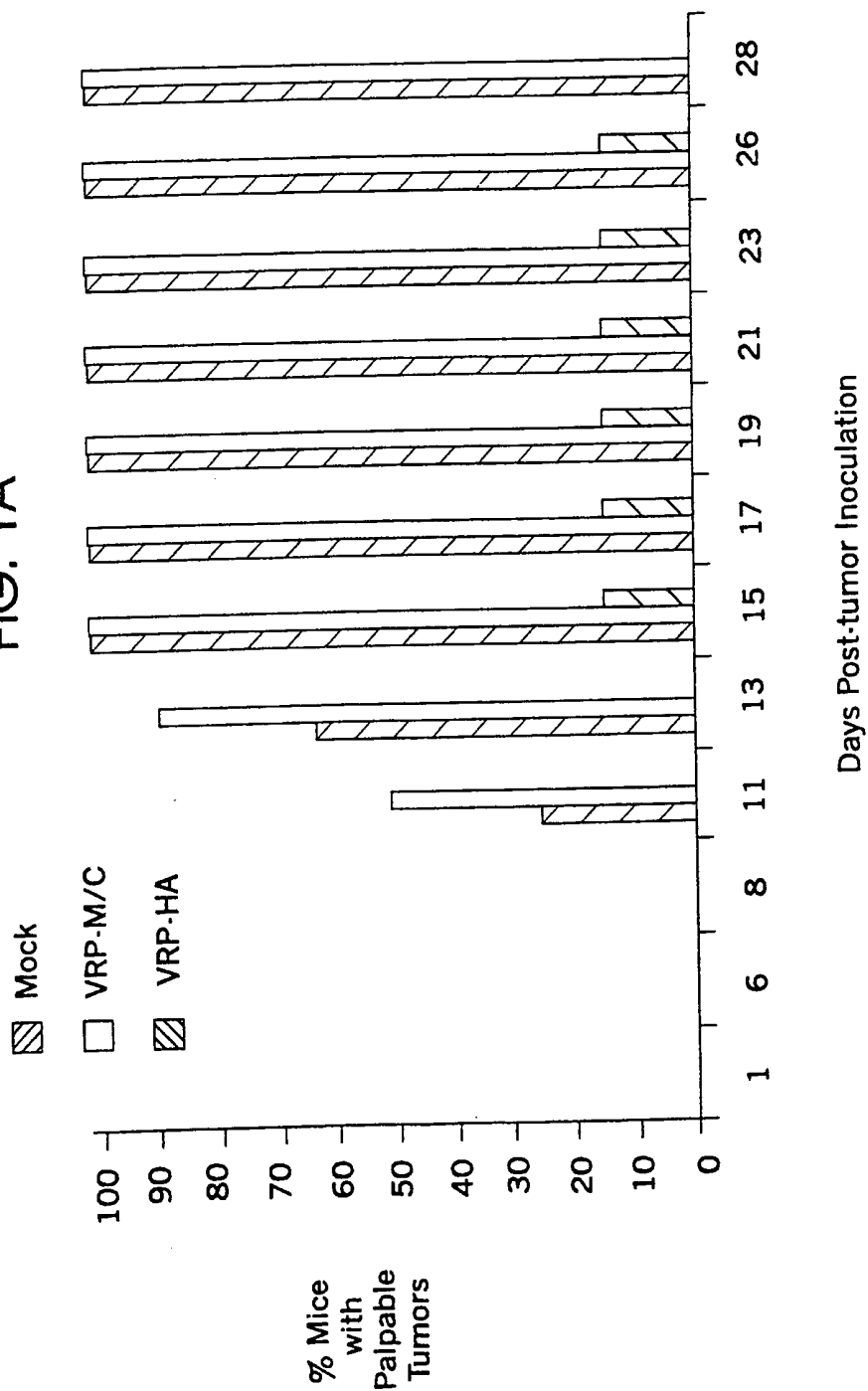
25 81. The method of Claim 79, wherein said alphavirus is a Venezuelan Equine Encephalitis virus.

82. The method of Claim 79, further comprising a second step of immunizing the subject against the antigen native to the subject's cancer.

30

83. The method of Claim 82, wherein different alphavirus vectors are used for said immunizing step and said second immunizing step.

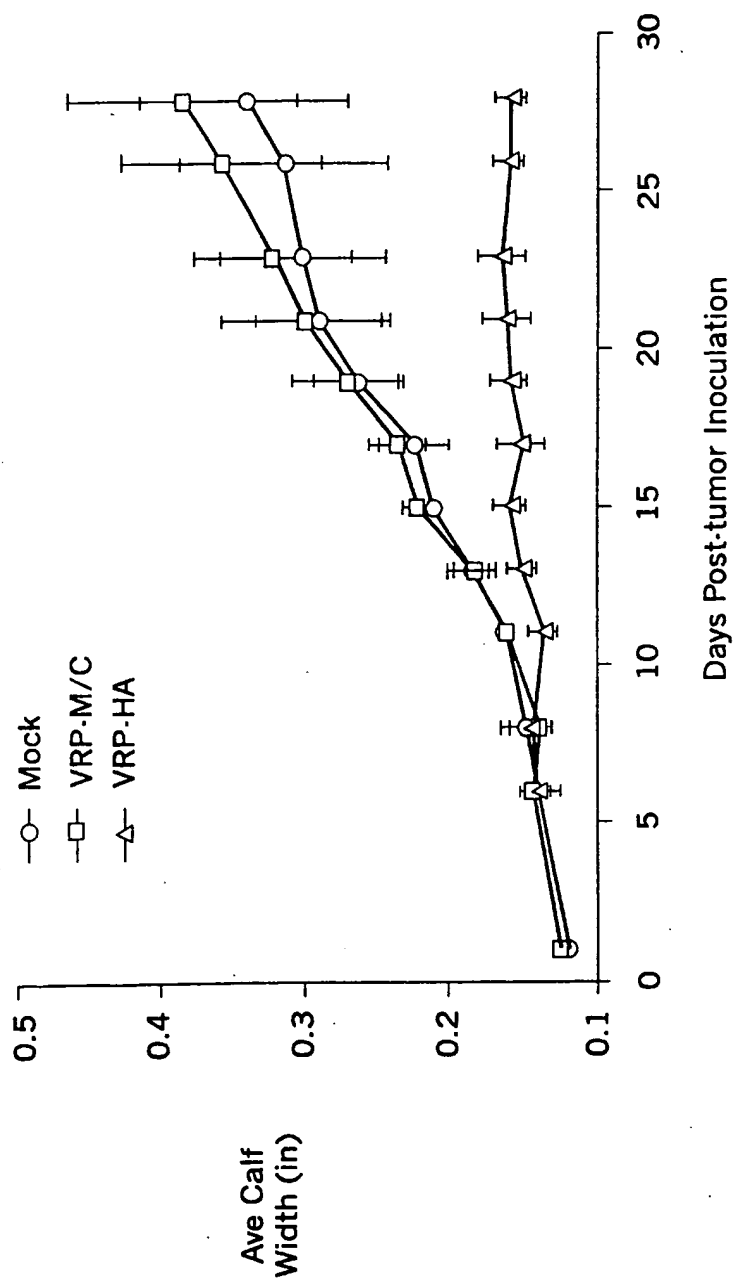
FIG. 1A

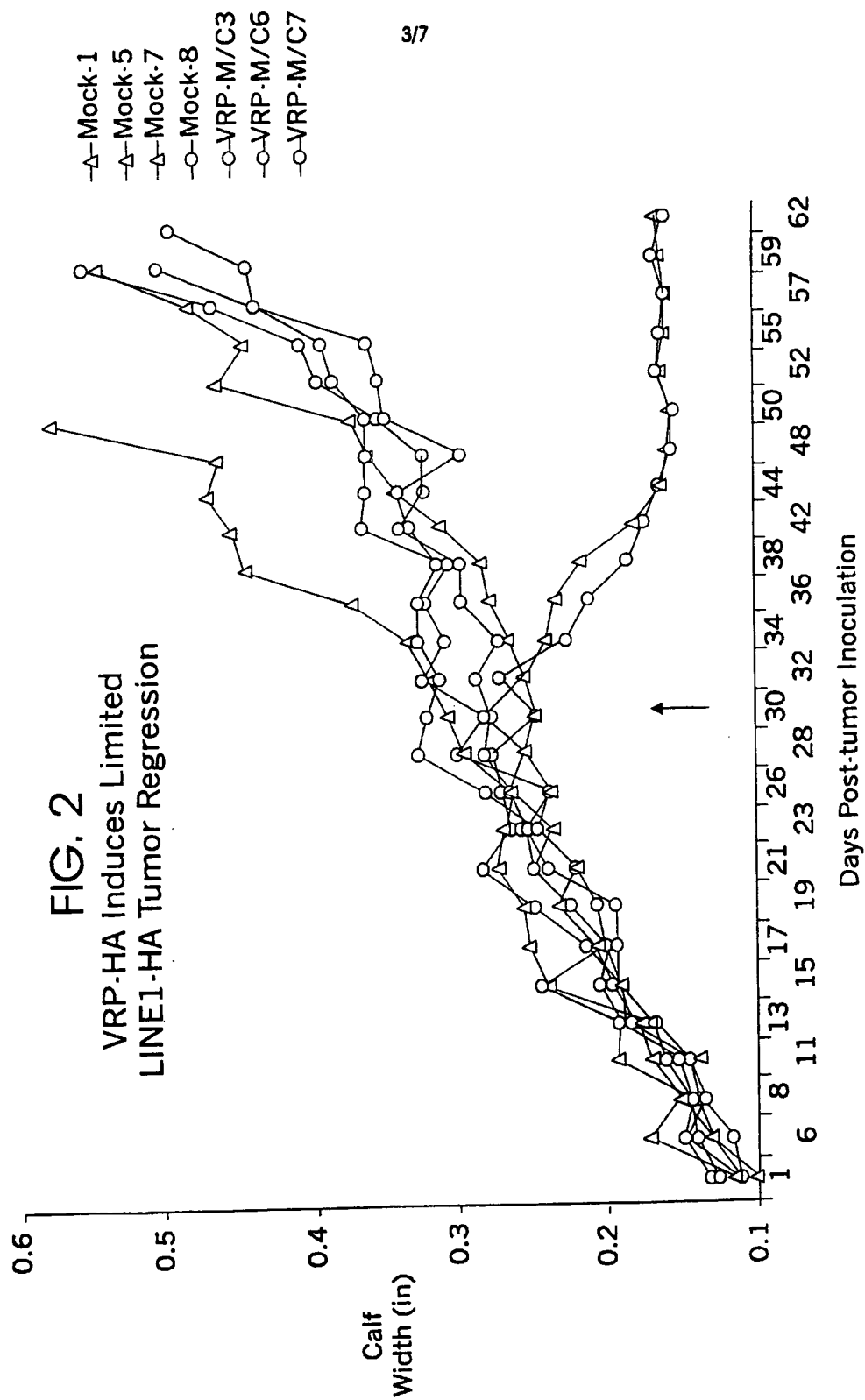




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FIG. 1B





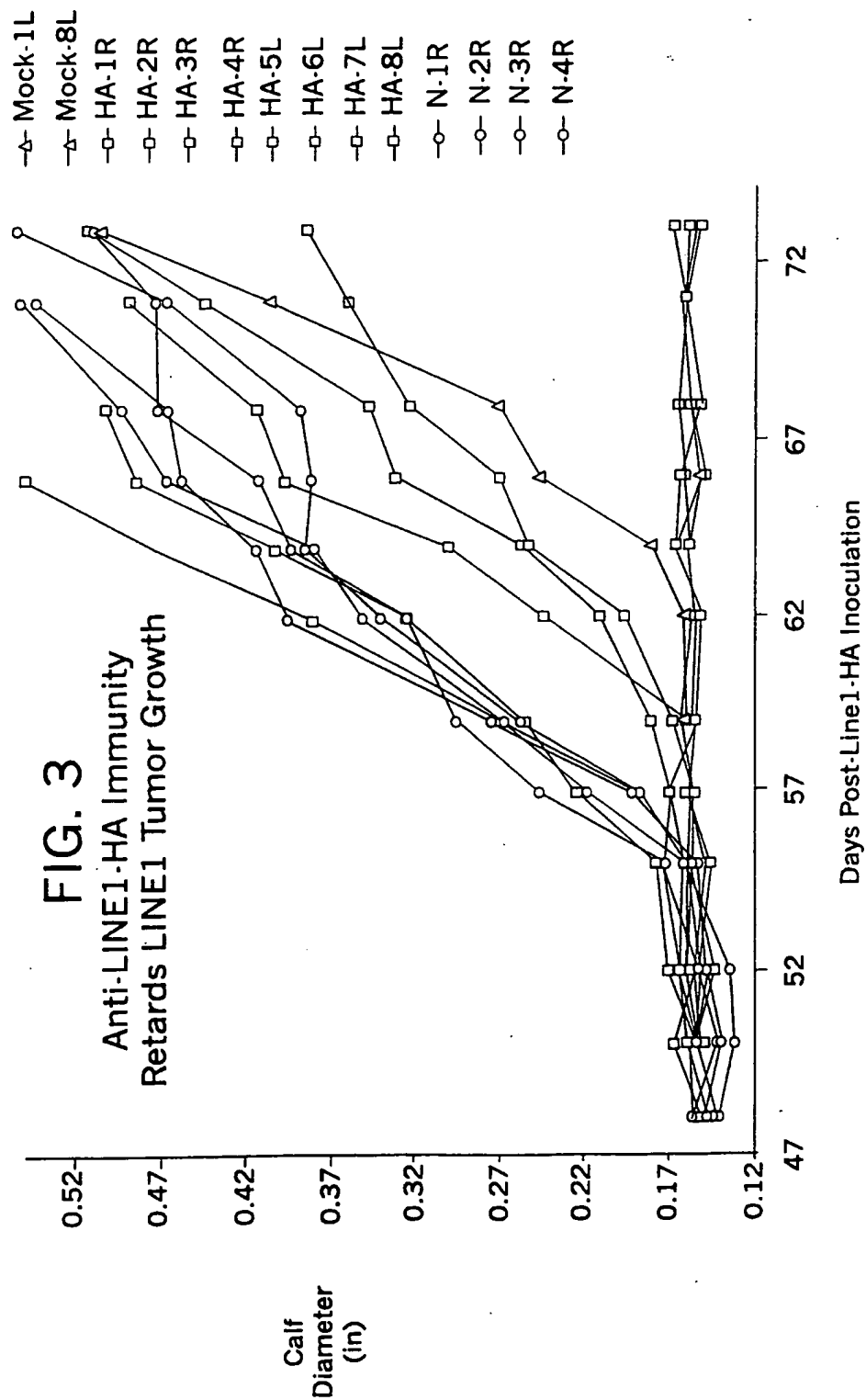


FIG. 4A

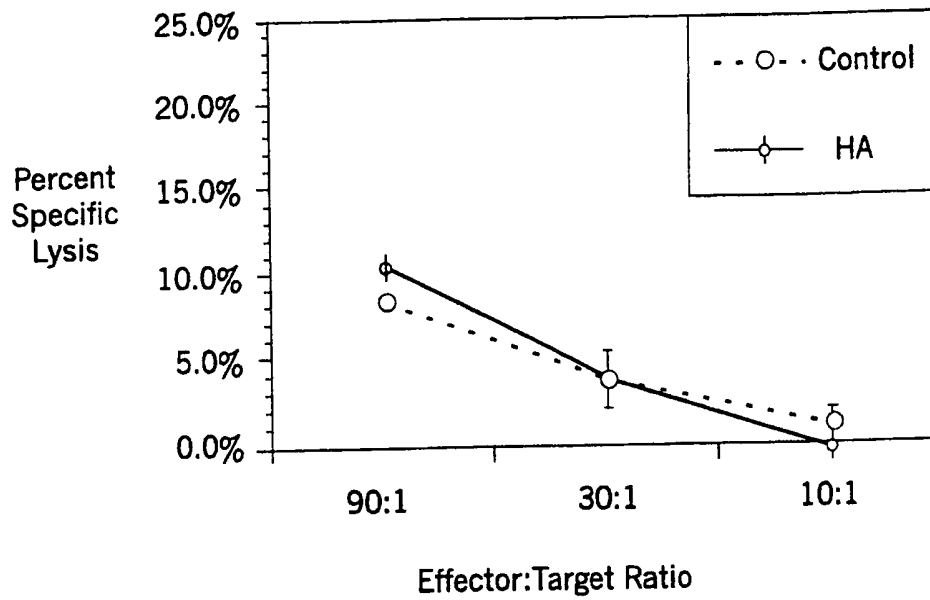


FIG. 4B

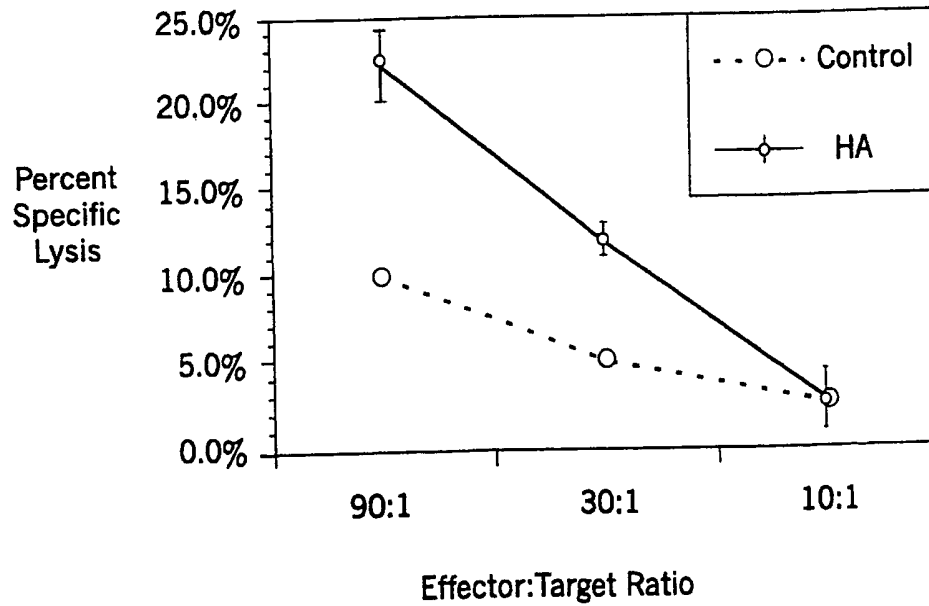


FIG. 5A

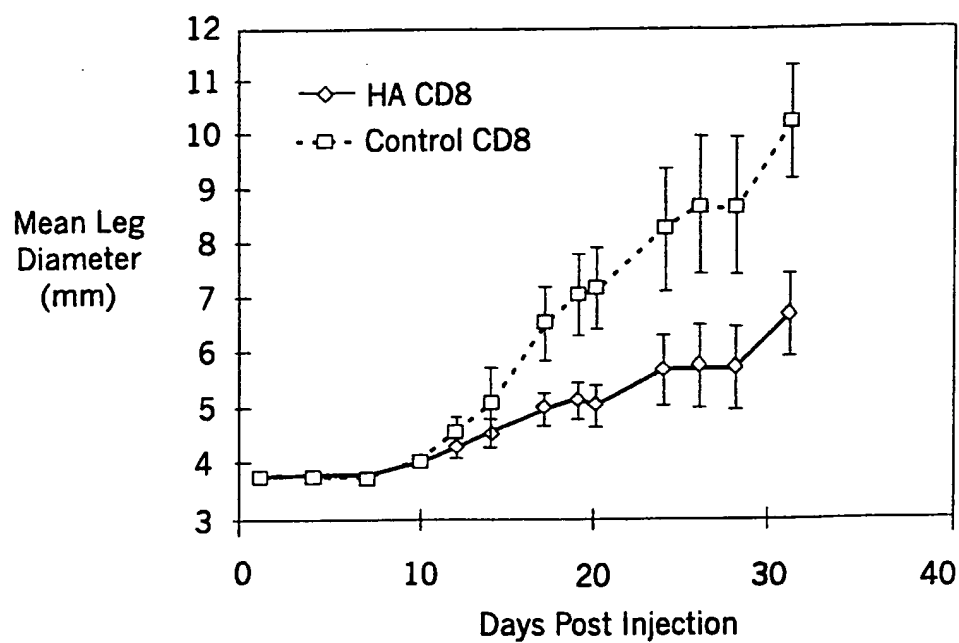


FIG. 5B

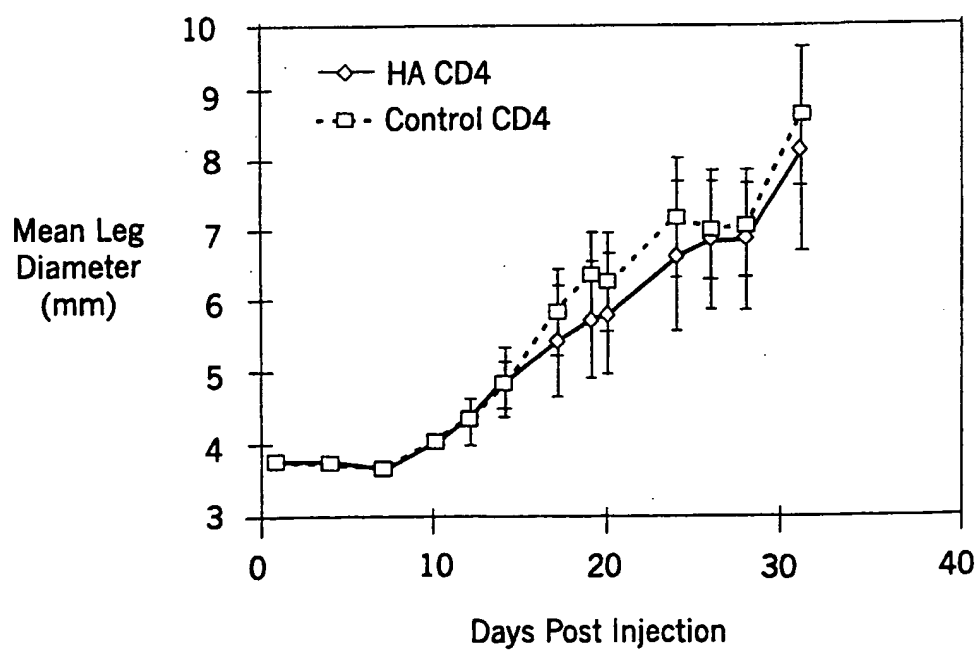
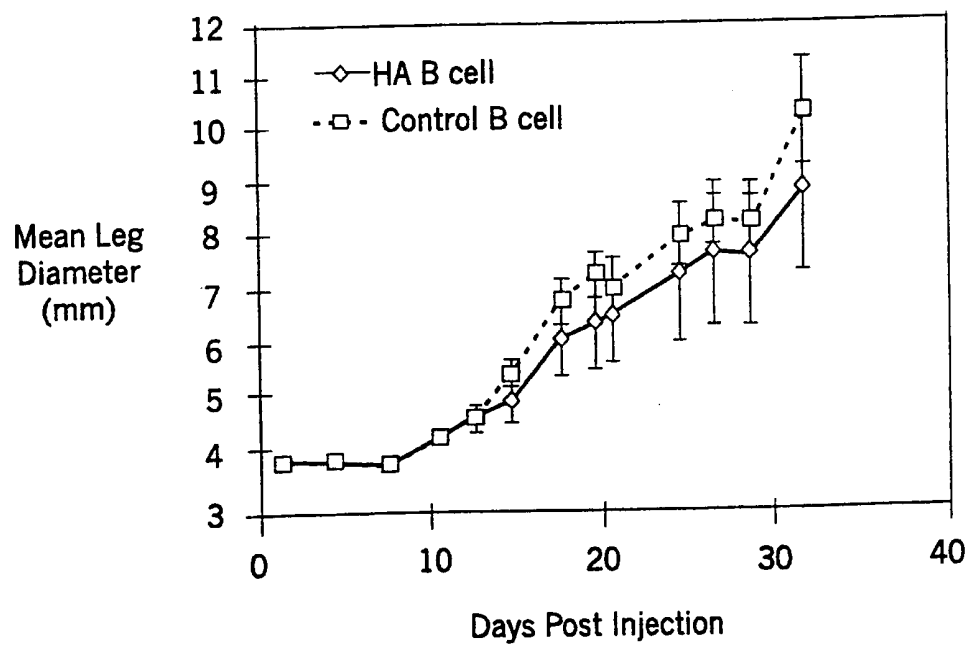


FIG. 5C



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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup>:</b> <b>A61K 39/00, C12N 15/86, 7/01, 5/10</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 99/51263</b> <b>(43) International Publication Date:</b> 14 October 1999 (14.10.99)
<b>(21) International Application Number:</b> PCT/US99/07704 <b>(22) International Filing Date:</b> 8 April 1999 (08.04.99) <b>(30) Priority Data:</b> 60/081,092 8 April 1998 (08.04.98) US <b>(71) Applicant (for all designated States except US):</b> UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL [US/US]; 308 Bynum Hall, Campus Box 4105, Chapel Hill, NC 27599-4105 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> MacDONALD, Gene, H. [US/US]; 7905 Orange Grove Road, Chapel Hill, NC 27516 (US). MARTIN, Brian, K. [US/US]; 110 Lochridge Drive, Durham, NC 27713 (US). JOHNSTON, Robert, E. [US/US]; 101 Marin Place, Chapel Hill, NC 27516 (US). TING, Jenny, P.-Y. [US/US]; 116 Old Bridge Lane, Chapel Hill, NC 27514 (US). <b>(74) Agents:</b> MAGRI, Karen, A. et al.; Myers, Bigel, Sibley & Sajovec, P.A., P.O. Box 37428, Raleigh, NC 27627 (US).	<b>(81) Designated States:</b> AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <b>(88) Date of publication of the international search report:</b> 3 February 2000 (03.02.00)	
<b>(54) Title:</b> METHODS AND MODIFIED CELLS FOR THE TREATMENT OF CANCER <b>(57) Abstract</b> <p>The present invention provides methods of preventing and/or treating cancers (including tumors). In one preferred embodiment, the invention is practiced to induce regression of an existing cancer or tumor and/or to prevent metastasis and/or to prevent growth of metastatic nodules. In other preferred embodiments, the invention may be used as a prophylaxis to prevent the development of primary cancers through a childhood or adult vaccination program against specific tumor antigens for cancers with high incidences. In an alternate preferred embodiment, the present invention provides methods of establishing an immune response against a universal artificial tumor antigen through a childhood or adult vaccine program, thus providing a long-term immune response that can be utilized at any point to treat any cancer which develops later in life. The present invention also provides cancer and tumor cells stably expressing an artificial antigen, preferably an artificial cell-surface antigen.</p>		

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/07704

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61K39/00 C12N15/86 C12N7/01 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 24447 A (CHIRON VIAGENE) 10 July 1997 (1997-07-10)  page 2, line 16 - line 30 page 4, line 4 - line 7 page 16, line 18 - line 30 page 17, line 6	1-15, 35-37, 39-42, 44-57
Y	page 19, line 23 - line 21; claims 4-10  --- -/--	27,28, 65,66, 70-83

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

29 November 1999

Date of mailing of the international search report

15/12/1999

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 99/07704

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages*	Relevant to claim No.
X	WO 98 00163 A (UNIVERSITY OF PITTSBURGH OF THE COMMONWEALTH SYSTEM) 8 January 1998 (1998-01-08)  page 6, line 1 - line 20 page 7, line 4 - line 27 page 16, line 4 - line 29 page 6, line 1 - line 20 page 7, line 4 - line 27 page 16, line 4 - line 29; claims 1-20	16-26, 29-40, 42-48, 58-64, 67-69          27,28, 65,66, 70-83
Y	---	
X	WO 95 32733 A (THE UNIVERSITY OF NORTH CAROLINE) 7 December 1995 (1995-12-07) cited in the application page 1, line 20 - page 2, line 10 claims 1-27; examples 1,2,4,5 ---	1,3-8
X	PUSHKO P ET AL: "Replicon-helper systems from attenuated Venezuelan Equine Encephalitis virus: Expression of heterologous genes in vitro and immunization against heterologous pathogens in vivo." VIROLOGY, vol. 239, no. 2, 22 December 1997 (1997-12-22), pages 389-401, XP002123951 cited in the application page 392, left-hand column, line 45 - right-hand column, line 17 page 396, left-hand column abstract ---	1-8, 10-15
P,X	WO 99 30734 A (G D SEARLE & CO) 24 June 1999 (1999-06-24) claims 1-35; examples 1-7 -----	1-15,35, 41,70-83

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/07704

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 17-83 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/07704

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